



10/01/97

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Attorney's Docket No. 80,000-054

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of  
Inventor(s): Guillermo Rudolph Chacon

For: **Electronic Kanban Worksheet for the Design and Implementation of Virtual  
or Electronic Kanban Systems**

1. **Type of Application**

This new application is for a(n)

- ☒ Original (nonprovisional)  
☐ Design  
☐ Plant  
☐ Divisional  
☐ Continuation  
☐ Continuation-in-part (C-I-P)

2. **Benefit of Prior U.S. Application(s)** (35 U.S.C. 119(e) 120, or 121)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 10/1/97 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EM233255953US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Joyce Krumpe

Signature of person mailing paper

3. **Papers Enclosed That Are Required for Filing Date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

106 Pages of specification

1 Pages of claims

1 Pages of Abstract

10 Sheets of Drawing

☐ formal

☒ informal

☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)". 37 CFR 1.84(b).

4. **Additional papers enclosed**

☐ Preliminary Amendment

☐ Information Disclosure Statement (37 CFR 1.98)

☐ Form PTO-1449

☐ Citations

☐ Declaration of Biological Deposit

☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative

☐ Special Comments

☐ Other

5. **Declaration or oath**

☐ Enclosed

Executed by

☐ inventor(s).

☐ legal representative of inventor(s).

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.

☒ Not enclosed.

☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s).

☐ Showing that the filing is authorized.

**6. Inventorship Statement**

The inventorship for all the claims in this application are:

☒ The same.

or

☐ Not the same. An explanation, including the ownership of the various claims at the time last claimed invention was made,

☐ is submitted

☐ will be submitted.

**7. Language**

☒ English

☐ Non-English

☐ The attached translation is a verified translation. 37 CFR 1.52(d).

**8. Assignment**

☒ An assignment of the invention to Sony Corporation

☐ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.

☒ will follow.

**9. Certified copy**

Certified copy(ies) of application(s)

country	appln. no.	filed
country	appln. no.	filed
country	appln. no.	filed

from which priority is claimed.

☐ is (are) attached.

☐ will follow.

**10. Fee Calculation (37 CFR 1.16)**

A. ☒ Regular application.

03941832 100197

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$ 790.00
Total Claims (37 CFR 1.16(c))	-20 =	X \$ 22.00	
Independent Claims (37 CFR 1.16(b))	-3 =	X \$ 80.00	
Multiple dependent claims, if any, (37 CFR 1.16(d))		X \$ 260.00	

- ☐ Amendment canceling extra claims enclosed.
- ☐ Amendment deleting multiple-dependencies enclosed
- ☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 790.00

B. ☐ Design application (\$310.00-37 CFR 1.16(f))  
Filing Fee Calculation \$ \_\_\_\_\_

C. ☐ Plant application (\$510.00-37 CFR 1.16(g))  
Filing Fee Calculation \$ \_\_\_\_\_

**11. Small Entity Statement(s)**

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is (are) attached.
- ☐ Status as a small entity was claimed in prior application serial no. \_\_\_\_\_, filed on \_\_\_\_\_, from which benefit is being claimed for this application under:

35 U.S.C. ☐ 119(e),  
☐ 120,  
☐ 121,  
☐ 365(c),

and which status as a small entity is still proper and desired.

- ☐ A copy of the verified statement in the prior application is included.

Filing Fee Calculation (50% of A, B or C above) \$ \_\_\_\_\_

**12. Request for International-Type Search (37 CFR 1.104(d))**

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

**13. Fee Payment Being Made At This Time**

☐ Not enclosed.

☐ No filing fee is to be paid at this time.

☒ Enclosed

<input checked="" type="checkbox"/> Basic filing fee	\$ <u>790.00</u>
<input type="checkbox"/> Recording assignment (\$40.00; 37 CFR 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	\$ _____
<input type="checkbox"/> Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to or cannot be reached. (\$130.00, 37 CFR 1.47 and .17(h))	\$ _____
<input type="checkbox"/> For processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k).	\$ _____
<input type="checkbox"/> Processing and retention fee (\$130.00; 37 CFR 1.153(d) and 1.21 ( i )	\$ _____
<input type="checkbox"/> Fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$ _____
Total fees enclosed	\$ <u>790.00</u>

**14. Method of Payment of Fees**

☐ Check in the amount of \$\_\_\_\_\_.

☒ Charge Deposit Account No. 18-0013 in the amount of \$790.00.  
A duplicate of this transmittal is attached.

**15. Authorization to Charge Additional Fees**

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 18-0013

- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- ☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

16. Instructions as to Overpayment

- ☒ Credit Deposit Account No. 18-0013  
☐ Refund

Date: 10/1/97



**SIGNATURE OF ATTORNEY**

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☐ Incorporation by reference of added pages

- ☐ Plus added pages for New Application Transmittal where benefit of prior U.S. application(s) claimed

Number of pages added

- ☐ Plus Added Pages for Papers Referred to in item 4 above

Number of pages added

- ☐ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added

☒ Statement Where No Further Pages Added

- ☒ This transmittal ends with this page.

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